

CD Kaim

06/28/01

J. PTO

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Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.	1584/65397
First Inventor	Randy Prager, et al.
Title	Desktop, Stream-Based, Information Management System
Express Mail Label No.	EL628789664US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
- Specification [Total Pages **29**]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets **9**]
- Oath or Declaration [Total Pages]
 - Newly executed (original or copy)
 - Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

- CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - CD-ROM or CD-R (2 copies); or
 - paper
 - Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- Assignment Papers (cover sheet & document(s))
- 37 CFR 3.73(b) Statement of Attorney (when there is an assignee) Power of Attorney
- English Translation Document (if applicable)
- Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
- Preliminary Amendment
- Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP)

of prior application No.: **09/398,611 & 09/673,255**

Prior application information: Examiner **2771**

Group Art Unit: **W. Amsbury**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label



or Correspondence address below

Name

23432

Address

PATENT TRADEMARK OFFICE

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Ivan S. Kavrukov

Registration No. (Attorney/Agent)

25,161

Signature

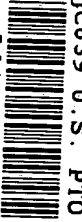
Ivan S. Kavrukov

Date

June 26, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

06/26/01



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PTO/SB/05 (03-01)
U.S. PATENT AND TRADEMARK OFFICE
09/892365
06/26/01

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Randy Prager, et al.
Examiner Name	
Group Art Unit	
Attorney Docket No.	1584/65397

TOTAL AMOUNT OF PAYMENT (\$ 710.00)

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: **03-3125**
 Deposit Account Name: **Cooper & Dunham LLP**

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	
SUBTOTAL (1)			(\$ 710)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	=
Multiple Dependent	-3** =	X	=

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 710)

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
105 130	205 65		Surcharge - late filing fee or oath	
127 50	227 25		Surcharge - late provisional filing fee or cover sheet	
139 130	139 130		Non-English specification	
147 2,520	147 2,520		For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*		Requesting publication of SIR after Examiner action	
115 110	215 55		Extension for reply within first month	
116 390	216 195		Extension for reply within second month	
117 890	217 445		Extension for reply within third month	
118 1,390	218 695		Extension for reply within fourth month	
128 1,890	228 945		Extension for reply within fifth month	
119 310	219 155		Notice of Appeal	
120 310	220 155		Filing a brief in support of an appeal	
121 270	221 135		Request for oral hearing	
138 1,510	138 1,510		Petition to institute a public use proceeding	
140 110	240 55		Petition to revive - unavoidable	
141 1,240	241 620		Petition to revive - unintentional	
142 1,240	242 620		Utility issue fee (or reissue)	
143 440	243 220		Design issue fee	
144 600	244 300		Plant issue fee	
122 130	122 130		Petitions to the Commissioner	
123 50	123 50		Processing fee under 37 CFR 1.17(q)	
126 180	126 180		Submission of Information Disclosure Stmt	
581 40	581 40		Recording each patent assignment per property (times number of properties)	
146 710	246 355		Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355		For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355		Request for Continued Examination (RCE)	
169 900	169 900		Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 710)

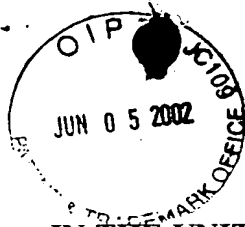
SUBMITTED BY

Name (Print/Type)	Ivan S. Kavrukov	Registration No. (Attorney/Agent)	25,161	Telephone	212-278-0400
Signature	<i>Ivan S. Kavrukov</i>	Date	June 26, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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099335-0350



COPY OF PAPERS
ORIGINALLY FILED

Dkt. 1584/65397

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Randy Prager, et al.

Serial No. : 09/892,385 Group Art Unit: 2171

Date Filed : June 26, 2001 Examiner:

For : DESKTOP, STEAM-BASED,
INFORMATION MANAGEMENT SYSTEM

1185 Avenue of the Americas
New York, N.Y. 10036

Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF
APPLICATION-FILING DATE GRANTED
AND TRANSMISSION OF ASSIGNMENT FOR RECORDATION**

In response to the Notice to File Missing Parts of Application-Filing Date Granted dated October 12, 2001, applicants hereby transmit (i) copy of the PTO Notice, (ii) Declaration and Power of Attorney (attached hereto as Exhibit A), (iii) check for \$130 surcharge for late filing of the Declaration, (iv) 9 substitute drawing sheets, figures 1-10 (attached hereto as Exhibit B), and (v) An abstract (attached hereto as Exhibit C).

Applicant also transmits for recordation (vi) an Assignment of this invention to Mirror Worlds Technologies, Inc., (vii) Recordation Form Cover Sheet (Form PTO-1595), and (viii) check for the \$40 recordation fee.

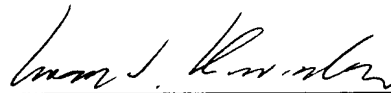
I hereby certify that this paper is being deposited this date with the U.S. Postal Service as first class mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Ivan S. Kavrukov May 10, 2002
Ivan S. Kavrukov Date
Reg. No. 25,161

The Commissioner is authorized to charge any additional fees, or to credit any overpayment, to our Deposit Account No. 03-3125.

If a petition for an additional extension of time is required to make this response timely, this paper should be considered to be such a petition, and the Commissioner is authorized to charge the requisite fees to our Deposit Account No. 03-3125.

Respectfully submitted,



Ivan S. Kavrukov, Reg. No. 25,161
Attorney for Applicants
Cooper & Dunham LLP
Tel.: (212) 278-0400

20250905 09:58:26

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of : Randy Prager, et al.

Serial No. : Group Art Unit:

Date Filed : Concurrently Herewith Examiner:

For : DESKTOP, STREAM-BASED,
INFORMATION MANAGEMENT SYSTEM

1185 Avenue of the Americas
New York, N.Y. 10036

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

**EXPRESS MAIL
LETTER OF TRANSMITTAL**

Express Mail mailing label number: EL628789664US

Date of Deposit: June 26, 2001

I hereby certify that the above-identified application consisting of a 29-page specification, 9 sheets of drawings (Figs. 1-10), attached Transmission Letter in Accordance With 37 C.F.R. 1.52(e)(ii) with enclosed compact disk and list of files in hard-copy (in duplicate, with CD Copy 1 and CD Copy 2), Information Disclosure Statement, form PTO-1449 and a copy of the cited reference, Utility Patent Application Transmittal, and a check for the \$710 filing fee are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Person Depositing Express Mail Material

Attorney for Applicant:

IVAN S. KAVRUKOV, Reg. No. 25,161
Cooper & Dunham LLP
Tel: (212) 278-0400

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